

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)   |                               |  |  |  |                       |  |  |  |  |
|---|-------------------------------|--|--|--|-----------------------|--|--|--|--|
| 1. Name and Address of<br>MacKay Douglas .  | 1 0                           | 2. Date of Event Requiring<br>Statement (Month/Day/Year)<br>03/20/2020 | 3. Issuer Name and Ticker or Trading Symbol<br>CV Sciences, Inc. [CVSI]  |  |                       |  |  |  |  |
| (Last) (I<br>10070 BARNES CA  | First) (Middle)<br>ANYON ROAD | 03/20/2020   | Issuer   |  |                       | 5. If Amendment, Date Original<br>Filed(Month/Day/Year)  |  |  |  |
| (S<br>SAN DIEGO, CA 9   | Street)<br>92121              |  | (Check all applicable)<br>Director10% Owner<br>Officer (give title<br>below)below<br>Sr VP Scientific/Regulatory |  | cify                  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |  |  |  |
| (City) (S   | State) (Zip)                  | Table I - Non-Derivative Securities Beneficially Owned                 |  |  |                       |  |  |  |  |
| 1.Title of Security       2. Amount of Se         (Instr. 4)       Beneficially Ow         (Instr. 4)       Instr. 4) |                               |  |  | 3. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 5) | 4. Natur<br>(Instr. 5 | re of Indirect Beneficial Ownership<br>)   |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Tuble II Derivative Securities Denembrany |   |                                     | o mieu (181, puis, eulis, eulis, options, contertiste securites) |  |                               |                                       |   |   |  |
|---|---|-------------------------------------|--|--|-------------------------------|---------------------------------------|---|---|--|
|   | 1. Title of Derivative Security<br>(Instr. 4) | Expiration Date<br>(Month/Day/Year) |  | Securities Underlying Derivative<br>Security<br>(Instr. 4) |                               | or Exercise<br>Price of<br>Derivative | Form of<br>Derivative<br>Security:          | 6. Nature of Indirect Beneficial<br>Ownership<br>(Instr. 5) |  |
|   |   | Date<br>Exercisable                 | Expiration<br>Date   | Title  | Amount or<br>Number of Shares |                                       | Direct (D) or<br>Indirect (I)<br>(Instr. 5) |   |  |
|   | Employee Stock Option (right to buy)          | <u>(1)</u>                          | 03/17/2029   | Common<br>Stock  | 250,000                       | \$ 4.67                               | D   |   |  |
|   | Employee Stock Option (right to buy)          | <u>(2)</u>                          | 03/19/2030   | Common<br>Stock  | 400,000                       | \$ 0.33                               | D   |   |  |

### **Reporting Owners**

|   | Relationships |              |                             |       |  |
|---|---------------|--------------|-----------------------------|-------|--|
| Reporting Owner Name / Address  |               | 10%<br>Owner | Officer                     | Other |  |
| MacKay Douglas Jesse<br>10070 BARNES CANYON ROAD<br>SAN DIEGO, CA 92121 |               |              | Sr VP Scientific/Regulatory |       |  |

## Signatures

| /s/ Joerg Grasser as Attorney-in-fact for Douglas MacKay | 03/25/2020 |
|--|------------|
| Signature of Reporting Person                            | Date       |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option is durational-based. No option shares are vested on the date of grant and the remaining option shares vest and become exercisable as follows: (i) thirty-three percent (33%) of the option shares vest and become exercisable on the one (1) year anniversary of March 18, 2019, provided, however, that there has not been a termination (1) of service as of such date and (ii) the balance of the option shares will vest and become exercisable in a series of twenty-four (24) successive equal monthly installments measured from the first anniversary of March 18, 2019, provided, however, that there has not been a termination of service as of such date. In no event will the option become exercisable for any additional option shares after a termination of service.

The option is durational-based. 133,333 option shares vested on the grant date, 133,333 option shares vest and become exercisable on April 15, 2020 and the remaining (2) 133,334 option shares vest and become exercisable on July 15, 2020, provided, however, that there has not been a termination of service as of such date. In no event will the option become exercisable for any additional option shares after a termination of service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

- POWER OF ATTORNEY FOR SECTION 16 REPORTING OBLIGATIONS
  Know all by these presents, that the undersigned hereby constitutes and appoints Joerg Grasser, signing singly, the undersigned's true and lawful attorney-in-fact to:

  execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director or both of CV Sciences, Inc. (the "Company"), and/or beneficial owner of more th
  do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendme
  take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally re
  The undersigned hereby grants to such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever required, necessary or proper to be done in th
  The undersigned Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 or 5 with respect to the undersigned's holdings of and tran

This rower of Actorney Successful and the second this Power of Attorney as of this 24th day of March, 2020.

Signed and acknowledged:

/s/ Douglas MacKay Signature

Douglas MacKay Printed Name